

Guardians of New Zealand Superannuation - Hospitality Register 1 July 2021 – 30 June 2022

As outlined in the Guardians' Code of Conduct, receiving hospitality is appropriate in some circumstances. These circumstances include:

- Incumbent investment managers' client functions where accommodation is offered to all clients;
- Meals and/or accommodation provided as part of business-as-usual work with incumbent investment managers; and
- Relationship-building with professional advisers, or other service providers.

Participating in industry networking functions is also an appropriate and necessary part of our work.

Guardians employees are not permitted to accept hospitality during a tender, due diligence, procurement or appointment situation.

Hospitality accepted	Position of staff member who accepted	Estimated value (\$)	Date Received	Name of the organisation or individual who paid for/gave the gift or hospitality	Notes
Other	Manager	50	1/07/2021	Forsyth Barr	Transport
Working Meal	Senior Strategist	50	2/07/2021	CFA Society NZ	
Working Meal	CIO	100	7/07/2021	Pencarrow	
Working Meal	Head	50	14/07/2021	SFF (EY Workshop)	
Working Meal	Portfolio Manager	100	27/07/2021	Z Energy	
Working Meal	Head	50	27/07/2021	McGredy Winder	
Working Meal	Senior Communications Strategist	50	27/07/2021	McGredy Winder	

C1 - Public

Hospitality accepted	Position of staff member who accepted	Estimated value (\$)	Date Received	Name of the organisation or individual who paid for/gave the gift or hospitality	Notes
Other	Head	50	29/07/2021	Centre for Sustainable Finance	Official launch
Other	Senior Investment Strategist	50	29/07/2021	Centre for Sustainable Finance	Official launch
Other	CIO	50	29/07/2021	Centre for Sustainable Finance	Official launch
Working Meal	Head	50	30/07/2021	PwC	
Working Meal	Head	50	30/07/2021	PwC	
Working Meal	Manager	50	30/07/2021	PwC	
Working Meal	Manager	50	30/07/2021	PwC	
Working Meal	CIO	100	4/08/2021	Minister Woods	
Working Meal	Manager	100	4/08/2021	Timberlands	
Working Meal	Manager	100	4/08/2021	Timberlands	
Working Meal	Manager	100	5/08/2021	Timberlands	
Working Meal	Manager	100	5/08/2021	Timberlands	
Other	Head	50	10/08/2021	Jarden	Team update meeting followed by drinks

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Hospitality accepted	Position of staff member who accepted	Estimated value (\$)	Date Received	Name of the organisation or individual who paid for/gave the gift or hospitality	Notes
Drinks	Manager	50	10/08/2021	Jarden	Team update meeting followed by drinks
Drinks	Manager	50	10/08/2021	Jarden	Team update meeting followed by drinks
Drinks	Senior Investment Strategist	50	10/08/2021	Jarden	Team update meeting followed by drinks
Drinks	Portfolio Manager	50	10/08/2021	Jarden	Team update meeting followed by drinks
Drinks	Analyst	100	12/08/2021	ANZ	
Working Meal	Head	50	16/08/2021	AlphaCert	
Other	Head	100	23/09/2021	Chapman Tripp	Virtual wine tasting and catch up during lockdown
Other	Senior Tax Advisor	100	23/09/2021	Chapman Tripp	Virtual wine tasting and catch up during lockdown
Other	Head	100	23/09/2021	Chapman Tripp	Virtual wine tasting and catch up during lockdown
Other	Tax Advisor	100	23/09/2021	Chapman Tripp	Virtual wine tasting and catch up during lockdown

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Hospitality accepted	Position of staff member who accepted	Estimated value (\$)	Date Received	Name of the organisation or individual who paid for/gave the gift or hospitality	Notes
Other	Manager	100	15/10/2021	Theta	Virtual wine tasting event
Other	Head	50	5/11/2021	Deloitte	Nibbles for a virtual meeting
Other	Senior Tax Advisor	50	5/11/2021	Deloitte	Nibbles for a virtual meeting
Other	Head	50	5/11/2021	Deloitte	Nibbles for a virtual meeting
Other	Tax Advisor	50	5/11/2021	Deloitte	Nibbles for a virtual meeting
Working Meal	GM	100	25/11/2021	Westpac	
Working Meal	Head	50	10/12/2021	Heather Vermeer	
Other	Senior Tax Advisor	100	15/12/2021	Russell McVeagh	Lunch catch up with respective teams
Other	Head	100	15/12/2021	Russell McVeagh	Lunch catch up with respective teams
Other	Tax Advisor	100	15/12/2021	Russell McVeagh	Lunch catch up with respective teams
Working Meal	Head	50	18/01/2022	Insight	
Working Meal	Senior Communications Strategist	50	18/01/2022	Insight	

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Hospitality accepted	Position of staff member who accepted	Estimated value (\$)	Date Received	Name of the organisation or individual who paid for/gave the gift or hospitality	Notes
Working Meal	Senior Advisor	100	18/01/2022	Copenhagen Infrastructure Partners	
Working Meal	Head	100	10/02/2022	HSBC	
Drinks	GM	50	10/02/2022	RBNZ	
Working Meal	Head	50	11/02/2022	McGredy Winder	
Working Meal	Portfolio Manager	100	22/03/2022	Morgan Stanley	
Other	CEO	50	30/03/2022	Ministers Ellison	Breakfast Seminar
Drinks	Head	50	1/04/2022	Russell Property Group	
Drinks	Portfolio Manager	50	1/04/2022	Russell Property Group	
Drinks	Analyst	50	1/04/2022	Russell Property Group	
Drinks	CIO	50	1/04/2022	Russell Property Group	
Drinks	CEO	50	1/04/2022	Russell Property Group	
Working Meal	Head	50	5/04/2022	KiwiWealth	
Drinks	Portfolio Manager	50	11/04/2022	Russell Property Group	
Drinks	Manager	50	11/04/2022	Russell Property Group	

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Hospitality accepted	Position of staff member who accepted	Estimated value (\$)	Date Received	Name of the organisation or individual who paid for/gave the gift or hospitality	Notes
Drinks	Head	50	11/04/2022	Russell Property Group	
Working Meal	Head	50	27/04/2022	Centre for Sustainable Finance	
Working Meal	Head	100	3/05/2022	McGredy Winder	
Working Meal	Senior Communications Strategist	100	3/05/2022	McGredy Winder	
Working Meal	Senior Communications Advisor	100	3/05/2022	McGredy Winder	
Working Meal	Senior Communications Advisor	100	3/05/2022	McGredy Winder	
Working Meal	Head	120	4/05/2022	DZ Bank/OMFIF	
Working Meal	Head	120	4/05/2022	DZ Bank/OMFIF	
Working Meal	Head	120	4/05/2022	DZ Bank/OMFIF	
Working Meal	GM	130	5/05/2022	Future Fund	
Working Meal	Head	150	9/05/2022	EY	
Working Meal	Tax Advisor	150	9/05/2022	EY	
Working Meal	Head	150	11/05/2022	BusinessNZ	

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Hospitality accepted	Position of staff member who accepted	Estimated value (\$)	Date Received	Name of the organisation or individual who paid for/gave the gift or hospitality	Notes
Working Meal	Senior Communications Strategist	150	11/05/2022	BusinessNZ	
Working Meal	Head	110	12/05/2022	Dynatrace	
Working Meal	Head	100	13/05/2022	Mayne Wetherell	
Working Meal	Tax Advisor	100	13/05/2022	Mayne Wetherell	
Working Meal	Analyst	100	17/05/2022	Ontario Teachers	
Working Meal	Senior Advisor	100	17/05/2022	Ontario Teachers	
Working Meal	Analyst	50	17/05/2022	Stonepeak Infrastructure Partners	
Working Meal	Portfolio Manager	50	17/05/2022	Stonepeak Infrastructure Partners	
Working Meal	Senior Advisor	50	17/05/2022	Stonepeak Infrastructure Partners	
Other	Senior Investment Strategist	4,000	18/05/2022	PEI	Complimentary registration to the virtual "Impact Investor Global Summit 2022"
Other	Senior Investment Strategist	4,000	18/05/2022	PEI	Complimentary registration to the virtual "Impact Investor Global Summit 2022"
Other	Portfolio Manager	50	19/05/2022	Pzena Investment Management	Breakfast

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Hospitality accepted	Position of staff member who accepted	Estimated value (\$)	Date Received	Name of the organisation or individual who paid for/gave the gift or hospitality	Notes
Drinks	Head	50	19/05/2022	Datacom	
Working Meal	Head	50	20/05/2022	EY	
Working Meal	Senior Investment Strategist	100	20/05/2022	Fidelity Life	
Working Meal	Head	100	23/05/2022	Bridgewater	
Working Meal	Head	50	23/05/2022	Northern Trust	
Other	Head	2,919	23/05/2022	Fiona Reynolds	Complimentary entry to the 3 day Fiduciary Investors Symposium
Drinks	CIO	50	25/05/2022	Russell Group	
Drinks	Senior Advisor	50	25/05/2022	Russell Group	
Other	Portfolio Manager	12,064	25/05/2022	Stonepeak Infrastructure Partners	Flights, meals and accommodation as a LPAC member to attend the meeting in Dallas
Working Meal	Senior Investment Strategist	150	26/05/2022	Hunter Campbell	
Working Meal	Head	100	26/05/2022	ANZ	
Working Meal	Analyst	100	26/05/2022	ANZ	

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Hospitality accepted	Position of staff member who accepted	Estimated value (\$)	Date Received	Name of the organisation or individual who paid for/gave the gift or hospitality	Notes
Working Meal	Head	50	26/05/2022	Bloomberg	
Working Meal	Senior Analyst	50	26/05/2022	Bloomberg	
Working Meal	Head	50	27/05/2022	Generation	
Working Meal	Portfolio Manager	50	30/05/2022	Northern Trust	
Working Meal	Senior Investment Strategist	50	30/05/2022	Northern Trust	
Working Meal	CIO	50	30/05/2022	Northern Trust	
Working Meal	Portfolio Manager	50	30/05/2022	Northern Trust	
Working Meal	Analyst	50	1/06/2022	Timberlands	
Drinks	Head	50	1/06/2022	Tax Management New Zealand	
Drinks	Tax Advisor	50	1/06/2022	Tax Management New Zealand	
Working Meal	Senior Strategist	100	1/06/2022	AQR Capital Management	
Working Meal	Manager	100	1/06/2022	Forsyth Barr	
Working Meal	Analyst	50	2/06/2022	Timberlands	
Working Meal	Analyst	50	2/06/2022	Timberlands	

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Hospitality accepted	Position of staff member who accepted	Estimated value (\$)	Date Received	Name of the organisation or individual who paid for/gave the gift or hospitality	Notes
Drinks	Senior Investment Strategist	50	2/06/2022	Craigs Investment Partners	
Drinks	Analyst	50	2/06/2022	Craigs Investment Partners	
Drinks	Analyst	50	2/06/2022	Craigs Investment Partners	
Other	Manager	1,295	2/06/2022	NZ Private Capital / ASX	VC Summit Ticket
Working Meal	Analyst	50	3/06/2022	Timberlands	
Working Meal	CIO	350	7/06/2022	CIP	
Working Meal	Analyst	350	7/06/2022	CIP	
Working Meal	CIO	50	8/06/2022	PFA	
Working Meal	CIO	50	8/06/2022	ATP	
Working Meal	Analyst	50	8/06/2022	ATP	
Drinks	Senior Advisor	50	9/06/2022	Northern Trust	
Drinks	Head	50	9/06/2022	Northern Trust	
Drinks	Manager	50	9/06/2022	Northern Trust	
Drinks	Analyst	50	9/06/2022	Northern Trust	

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Hospitality accepted	Position of staff member who accepted	Estimated value (\$)	Date Received	Name of the organisation or individual who paid for/gave the gift or hospitality	Notes
Drinks	Head	50	9/06/2022	Northern Trust	
Working Meal	Senior Advisor	50	9/06/2022	Northern Trust	
Working Meal	Head	50	9/06/2022	Northern Trust	
Working Meal	Head	50	9/06/2022	Northern Trust	
Working Meal	Portfolio Manager	50	9/06/2022	Northern Trust	
Working Meal	Senior Legal Counsel	50	9/06/2022	Northern Trust	
Working Meal	Portfolio Manager	100	9/06/2022	Bank of America Securities	
Working Meal	Portfolio Manager	100	9/06/2022	Bank of America Securities	
Other	CIO	150	9/06/2022	Amundi WIF	Gala dinner
Other	Analyst	150	9/06/2022	Amundi WIF	Gala dinner
Working Meal	CIO	100	9/06/2022	Amundi WIF	
Working Meal	Analyst	100	9/06/2022	Amundi WIF	
Drinks	Tax Advisor	50	9/06/2022	Tax Traders	
Working Meal	CIO	50	10/06/2022	CFM	
Working Meal	Analyst	50	10/06/2022	CFM	

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Hospitality accepted	Position of staff member who accepted	Estimated value (\$)	Date Received	Name of the organisation or individual who paid for/gave the gift or hospitality	Notes
Working Meal	CIO	50	13/06/2022	PGGM	
Working Meal	Analyst	50	13/06/2022	PGGM	
Working Meal	Manager	100	14/06/2022	Longroad	
Working Meal	Senior Advisor	100	14/06/2022	Longroad	
Working Meal	Manager	100	14/06/2022	Direct Capital	
Working Meal	CIO	50	14/06/2022	DFI London	
Working Meal	Analyst	50	14/06/2022	DFI London	
Working Meal	Analyst	50	14/06/2022	DFI London	
Working Meal	Manager	100	15/06/2022	Generation	
Working Meal	Senior Advisor	100	15/06/2022	Generation	
Working Meal	Manager	100	15/06/2022	Morrison Foerster	
Working Meal	CIO	50	15/06/2022	Man AHL	
Working Meal	Analyst	50	15/06/2022	Man AHL	
Working Meal	Analyst	50	15/06/2022	Man AHL	
Working Meal	GM	100	16/06/2022	Citibank	

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Hospitality accepted	Position of staff member who accepted	Estimated value (\$)	Date Received	Name of the organisation or individual who paid for/gave the gift or hospitality	Notes
Working Meal	CIO	50	16/06/2022	Aitken Consulting UK	
Working Meal	Senior Investment Strategist	150	16/06/2022	Bloomberg	
Working Meal	CIO	50	16/06/2022	Episteme Capital Partners	
Working Meal	Analyst	50	16/06/2022	Episteme Capital Partners	
Working Meal	Analyst	50	16/06/2022	Episteme Capital Partners	
Drinks	CEO	50	16/06/2022	Kerridge Partners	
Working Meal	Manager	100	17/06/2022	StepStone	
Working Meal	Portfolio Manager	50	20/06/2022	Slate Asset Management	
Working Meal	Senior Advisor	50	20/06/2022	Slate Asset Management	
Drinks	Senior Investment Strategist	50	20/06/2022	Ion Group	
Drinks	Analyst	50	20/06/2022	Ion Group	
Working Meal	Senior Investment Strategist	100	20/06/2022	Ion Group	
Working Meal	Analyst	100	20/06/2022	Ion Group	

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Hospitality accepted	Position of staff member who accepted	Estimated value (\$)	Date Received	Name of the organisation or individual who paid for/gave the gift or hospitality	Notes
Working Meal	Manager	100	20/06/2022	Longroad	
Working Meal	Senior Advisor	100	20/06/2022	Longroad	
Working Meal	Senior Investment Strategist	100	21/06/2022	Ion Group	
Working Meal	CIO	50	22/06/2022	Citadel	
Working Meal	Manager	50	22/06/2022	Citadel	
Working Meal	Portfolio Manager	50	22/06/2022	Slate Asset Management	
Working Meal	Senior Advisor	50	22/06/2022	Slate Asset Management	
Working Meal	Portfolio Manager	50	22/06/2022	Slate Asset Management	
Working Meal	Senior Advisor	50	22/06/2022	Slate Asset Management	
Other	Senior Talent Acquisition Advisor	50	23/06/2022	Tupu Toa	Matariki event (hangi)
Other	Head	50	23/06/2022	Tupu Toa	Matariki event (hangi)
Working Meal	Portfolio Manager	50	23/06/2022	Slate Asset Management	
Working Meal	Senior Advisor	50	23/06/2022	Slate Asset Management	
Working Meal	Manager	50	23/06/2022	RIMS	

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Hospitality accepted	Position of staff member who accepted	Estimated value (\$)	Date Received	Name of the organisation or individual who paid for/gave the gift or hospitality	Notes
Working Meal	Portfolio Manager	50	23/06/2022	Slate Asset Management	
Working Meal	Senior Advisor	50	23/06/2022	Slate Asset Management	
Working Meal	Portfolio Manager	50	24/06/2022	Russell Group	
Working Meal	Senior Advisor	50	24/06/2022	Russell Group	
Working Meal	Senior Communications Strategist	100	29/06/2022	NZTE	
Working Meal	Manager	100	29/06/2022	NZTE	
Drinks	Senior Strategist	50	30/06/2022	Proxima	
Working Meal	Portfolio Manager	50	30/06/2022	DFI US	
Working Meal	Senior Advisor	50	30/06/2022	DFI US	
Working Meal	Head	50	30/06/2022	PWC	
Working Meal	Manager	50	30/06/2022	PWC	
Working Meal	Portfolio Manager	50	30/06/2022	PWC	
Working Meal	Portfolio Manager	50	30/06/2022	PWC	

Guardians of New Zealand Superannuation - Gift Register 1 July 2021 – 30 June 2022

As outlined in the Guardians' Code of Conduct, receiving gifts is appropriate in some circumstances. These circumstances include:

- A formal gift from a sovereign wealth or pension fund, or a national representative;
- Gifts to recognise speaking participation in forums.

Guardians employees are not permitted to accept gifts during a tender, due diligence, procurement or appointment situation. It is also organisational policy that any gifts received become the property of the Guardians, not the individual. Gifts are either shared with the team, or pooled and raffled as part of an annual staff event and the proceeds donated to charity. Any exceptions have been noted.

Gift accepted	Position of staff member who accepted	Estimated value (\$)	Date received	Name of the organisation or individual who paid for/gave the gift or hospitality	Notes
Alcohol	Senior Investment Strategist	50	2/07/2021	CFA	Added to Xmas Auction
Alcohol	Head	50	6/07/2021	INFINZ	Added to Xmas Auction
Alcohol	Senior Investment Strategist	50	7/07/2021	INFINZ	Added to Xmas Auction
Alcohol	Senior Investment Strategist	50	12/07/2021	INFINZ	Added to Xmas Auction
Cake	Senior Talent Acquisition Advisor	50	12/07/2021	Halo IT Recruitment	Retained by Guardians - cake shared with staff
Alcohol	Head	50	12/07/2021	INFINZ	Added to Xmas Auction

C1 - Public

Gift accepted	Position of staff member who accepted	Estimated value (\$)	Date received	Name of the organisation or individual who paid for/gave the gift or hospitality	Notes
Alcohol	Head	150	22/07/2021	Downer NZ	Added to Xmas Auction
Flight tickets	Senior Investment Strategist	350	29/07/2021	External Reporting Board	Used by staff member to attend a meeting in Wellington
Snack balls	Manager	50	12/08/2021	Pioneer	Retained by Guardians
eBook	Manager	50	13/08/2021	Confluent	Retained by Staff Member
Souvenir/ Memento	Senior IT Support Analyst	50	23/09/2021	Cert NZ	Added to Xmas Auction
Three native trees planted	Head	50	5/10/2021	Chartered Accountants NZ	Planted by the giftor on behalf of staff member
Ticket to APEC CEO Summit	CEO	500	13/10/2021	PWC	Retained by Staff Member
Chocolate	Manager	50	9/11/2021	Phi Training NZ	Retained by Staff Member
Alcohol	Board Member	50	11/11/2021	INFINZ	Retained by Board Member – Sent to Australia as a thank you gift
Alcohol	Portfolio Manager	50	18/11/2021	CFA Society (NZ)	Retained by Staff Member
Alcohol	Senior Investment Strategist	50	19/11/2021	CFA Society (NZ)	Added to Xmas Auction

C1 - Public

Gift accepted	Position of staff member who accepted	Estimated value (\$)	Date received	Name of the organisation or individual who paid for/gave the gift or hospitality	Notes
\$100 gift voucher	Manager	150	24/11/2021	Women's Leadership Summit	Retained by Staff Member - Prize won during a competition
Hamper	Head	50	7/12/2021	Pioneer	Added to Xmas Auction
Hamper	Portfolio Manager	50	7/12/2021	Pioneer	Added to Xmas Auction
Alcohol	GM	50	14/12/2021	Juno Law	Added to Xmas Auction
Chilly bin	GM	100	14/12/2021	HYPR Innovation	Added to Xmas Auction
Cake	Portfolio Manager	50	15/12/2021	KPMG Auckland	Retained by staff member due to being delivered to their home
Cake	Manager	50	15/12/2021	KPMG Auckland	Retained by staff member due to being delivered to their home
Alcohol	Head	100	16/12/2021	Stack	Added to Xmas Auction
Alcohol	Business Analyst	100	16/12/2021	Stack	Added to Xmas Auction
Face mask	GM	50	16/12/2021	Tax Traders	Added to Xmas Auction
Face mask	Head	50	16/12/2021	Tax Traders	Added to Xmas Auction

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Gift accepted	Position of staff member who accepted	Estimated value (\$)	Date received	Name of the organisation or individual who paid for/gave the gift or hospitality	Notes
Novel and a voucher for a cinema in the UK	Senior Communications Advisor	100	17/12/2021	Insight Creative	Retained by staff member
Gift Basket	Head	100	17/12/2021	SweeneyVesty	Added to Xmas Auction
2 Trees	Manager	50	20/12/2021	RIMS NZ & PI Chapter	Planted by the giftor on behalf of staff member
Gift parcel	Senior HR Consultant	50	20/12/2021	Lifetime	Retained by Guardians
Alcohol	GM	50	23/12/2021	SMB Legal	Added to Xmas Auction
Alcohol	Portfolio Manager	25	23/12/2021	Pepper Money	Added to Xmas Auction
Alcohol	GM	25	23/12/2021	Pepper Money	Added to Xmas Auction
Gift Basket	Head	100	10/01/2022	OCG	Added to Xmas Auction
Chilly bin	Head	100	15/01/2022	HYPR Innovation	Added to Xmas Auction
Gift Basket	Manager	100	20/01/2022	Lexel Systems Ltd	Added to Xmas Auction
Gift Basket	Head	50	20/01/2022	Lexel Systems Ltd	Added to Xmas Auction
Book	GM	50	30/03/2022	Man Group PLC	Retained by Guardians library

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Gift accepted	Position of staff member who accepted	Estimated value (\$)	Date received	Name of the organisation or individual who paid for/gave the gift or hospitality	Notes
Book	Manager	50	5/04/2022	Erik Serrano Berntsen	Retained by Guardians library
Gift voucher for a 3-courses dinner at participating Everybody Eats restaurants	Senior Communications Strategist	150	28/04/2022	Amanda Miller & Co	Added to Xmas Auction
Gift voucher for a 3-courses dinner at participating Everybody Eats restaurants	Head	150	28/04/2022	Amanda Miller & Co	Added to Xmas Auction
Book	CIO	50	2/05/2022	Man Group	Retained by Guardians library
Book	CIO	50	10/05/2022	Unknown	Added to Xmas Auction
Book	CIO	100	10/05/2022	Bridgewater	Retained by Guardians library
Souvenir/ Memento	Senior Communications Strategist	50	11/05/2022	Fujitsu	Added to Xmas Auction

C1 - Public

Gift accepted	Position of staff member who accepted	Estimated value (\$)	Date received	Name of the organisation or individual who paid for/gave the gift or hospitality	Notes
Souvenir/ Memento	Head	50	11/05/2022	Fujitsu	Added to Xmas Auction
Souvenir/ Memento	GM	50	11/05/2022	Fujitsu	Added to Xmas Auction
Book	CIO	50	16/05/2022	APS Asset Management	Added to Xmas Auction
Restaurant voucher	Senior Advisor	200	18/05/2022	CBRE Auckland	Added to Xmas Auction
Alcohol	Manager	100	1/06/2022	Colliers	Added to Xmas Auction
Umbrella	Analyst	50	2/06/2022	Red Stag	Retained by staff member
Vitamin sachets	Portfolio Manager	500	8/06/2022	AFT Pharmaceuticals	Retained by Guardians and shared with staff
Alcohol	IT Security Analyst	100	17/06/2022	AWS & She Sharp	Added to Xmas Auction
Alcohol	Portfolio Manager	50	30/06/2022	Australasia Investor Relations Association	Added to Xmas Auction