

C1 - Public

Guardians of New Zealand Superannuation - Hospitality Register 1 July 2016 – 31 December 2016

As outlined in the Guardians' Code of Conduct, receiving hospitality is appropriate in some circumstances. These circumstances include:

- Incumbent investment managers' client functions where accommodation is offered to all clients;
- Meals and/or accommodation provided as part of business-as-usual work with incumbent investment managers; and
- Relationship-building with professional advisers, or other service providers.

Participating in industry networking functions is also an appropriate and necessary part of our work.

Guardians employees are not permitted to accept hospitality during a tender, due diligence, procurement or appointment situation.

Position	Description of hospitality	From	Date Received	Date Approved	Notes
Head	Working Meal	DB Sydney	05/07/2016	08/07/2016	
Head	Working Meal	DB Sydney	05/07/2016	08/07/2016	
Senior Tax Advisor	Working Meal	Tax Management New Zealand Limited	07/07/2016	08/07/2016	
Senior Tax Advisor	Working Meal	Deloitte	08/07/2016	11/07/2016	
Senior Tax Advisor	Working Meal	Deloitte	08/07/2016	11/07/2016	
Head	Working Meal	Deloitte	08/07/2016	11/07/2016	
Legal Counsel	Working Meal	Russell McVeagh	13/07/2016	19/07/2016	
Manager	Working Meal	Northern Trust	19/07/2016	21/07/2016	

C1 - Public

Position	Description of hospitality	From	Date Received	Date Approved	Notes
Senior Operations Analyst	Working Meal	Northern Trust	19/07/2016	21/07/2016	
Head	Working Meal	KKR	25/07/2016	09/08/2016	
Senior Advisor	Working Meal	KKR	25/07/2016	09/08/2016	
Head	Working Meal	Bain Credit (formerly Sankaty)	25/07/2016	09/08/2016	
Senior Advisor	Working Meal	Bain Credit (formerly Sankaty)	25/07/2016	09/08/2016	
Senior Analyst	Working Meal	First NZ Capital	25/07/2016	05/08/2016	
Analyst	Working Meal	First NZ Capital	25/07/2016	05/08/2016	
Head	Working Meal	Ramius	26/07/2016	09/08/2016	
Senior Advisor	Working Meal	Ramius	26/07/2016	09/08/2016	
Head	Working Meal	DE Shaw	27/07/2016	09/08/2016	
Senior Advisor	Working Meal	DE Shaw	27/07/2016	09/08/2016	
GM	Working Meal	Ernst & Young	03/08/2016	18/08/2016	
Head	Working Meal	AUT	11/08/2016	17/08/2016	
GM	Working Meal	Westpac	12/08/2016	15/08/2016	
GM	Working Meal	Northern Trust	16/08/2016	19/08/2016	
Head	Working Meal	ANZ	17/08/2016	19/08/2016	

C1 - Public

Position	Description of hospitality	From	Date Received	Date Approved	Notes
Operational Due Diligence Analyst	Working Meal	State Street Global Advisors (SSgA)	25/08/2016	02/09/2016	
Operational Due Diligence Analyst	Working Meal	State Street Global Advisors (SSgA)	25/08/2016	02/09/2016	
Senior Tax Advisor	Working Meal	PWC	26/08/2016	29/08/2016	
Senior Tax Advisor	Working Meal	PWC	26/08/2016	29/08/2016	
Head	Working Meal	PWC	26/08/2016	29/08/2016	
Head	Working Meal	PWC	26/08/2016	26/08/2016	
Manager	Working Meal	Morrison & Co	01/09/2016	07/09/2016	
Head	Working Meal	Morrison & Co	01/09/2016	07/09/2016	
Senior Investment Strategist	Working Meal	Morrison & Co	01/09/2016	07/09/2016	
Analyst	Working Meal	Morrison & Co	01/09/2016	07/09/2016	
Legal Counsel	Working Meal	Morrison & Co	01/09/2016	07/09/2016	
Head	Working Meal	Morrison & Co	01/09/2016	07/09/2016	
Legal Counsel	Working Meal	Morrison & Co	01/09/2016	07/09/2016	
Senior Economist	Working Meal	Morrison & Co	01/09/2016	07/09/2016	

C1 - Public

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Head	Working Meal	Morrison & Co	01/09/2016	02/09/2016	
Analyst	Working Meal	CLSA	02/09/2016	19/09/2016	
Analyst	Working Meal	Investee Company	02/09/2016	19/09/2016	
Senior Tax Advisor	Working Meal	Tax Traders	02/09/2016	05/09/2016	
Senior Tax Advisor	Working Meal	Tax Traders	02/09/2016	05/09/2016	
Head	Working Meal	Tax Traders	02/09/2016	05/09/2016	
Analyst	Working Meal	UNPRI	03/09/2016	19/09/2016	
Analyst	Working Meal	UNPRI	03/09/2016	19/09/2016	
Analyst	Working Meal	UNPRI	04/09/2016	19/09/2016	
Analyst	Working Meal	UNPRI	05/09/2016	19/09/2016	
Analyst	Working Meal	Investee Company	05/09/2016	19/09/2016	
Analyst	Working Meal	Government Pension Investment Fund	08/09/2016	19/09/2016	
Analyst	Working Meal	Sustainalytics	14/09/2016	19/09/2016	
Legal Counsel	Working Meal	Bell Gully	16/09/2016	19/09/2016	
Head	Working Meal	Bell Gully	16/09/2016	19/09/2016	

C1 - Public

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Senior Analyst	Working Meal	Datacom	20/09/2016	22/09/2016	
Senior Tax Advisor	Working Meal	Northern Trust	21/09/2016	29/09/2016	
Head	Working Meal	Northern Trust	21/09/2016	29/09/2016	
Head	Working Meal	Russell McVeagh	23/09/2016	03/10/2016	
Senior Tax Advisor	Other	KPMG	23/09/2016	29/09/2016	Conference Meals and Refreshments
Head	Other	KPMG	23/09/2016	29/09/2016	Conference Meals and Refreshments
CEO	Working Meal	AIMCO	28/09/2016	03/10/2016	
CEO	Working Meal	PIAC (Pension Investment Association of Canada)	29/09/2016	03/10/2016	
CEO	Working Meal	BCIMC	30/09/2016	03/10/2016	
Head	Working Meal	Goldman Sachs	05/10/2016	06/10/2016	
Manager	Working Meal	Goldman Sachs	05/10/2016	06/10/2016	
Head	Working Meal	Goldman Sachs	05/10/2016	06/10/2016	
Senior Analyst	Working Meal	Classic Homes	05/10/2016	06/10/2016	
Manager	Other	New Zealand Council for Infrastructure and Development	06/10/2016	10/10/2016	Annual NZCID Building Nations Symposium attendance and conference dinner
Head	Working Meal	KPMG	10/10/2016	13/10/2016	

C1 - Public

Position	Description of hospitality	From	Date Received	Date Approved	Notes
Manager	Working Meal	KPMG	10/10/2016	13/10/2016	
Manager	Working Meal	KPMG	10/10/2016	13/10/2016	
Manager	Working Meal	KPMG	10/10/2016	13/10/2016	
Head	Working Meal	KPMG	10/10/2016	13/10/2016	
GM	Working Meal	Citibank	10/10/2016	10/10/2016	
Head	Working Meal	Citibank	10/10/2016	10/10/2016	
Head	Working Meal	KPMG	10/10/2016	15/08/2016	
Head	Working Meal	KPMG	10/10/2016	15/08/2016	
Manager	Working Meal	KPMG	10/10/2016	15/08/2016	
Manager	Working Meal	KPMG	10/10/2016	15/08/2016	
Manager	Working Meal	KPMG	10/10/2016	15/08/2016	
Legal Counsel	Working Meal	Stradling Yocca	11/10/2016	18/10/2016	
Legal Counsel	Working Meal	OMERS	13/10/2016	20/10/2016	
General Counsel	Working Meal	OMERS	13/10/2016	20/10/2016	
Legal Counsel	Working Meal	Tory's	13/10/2016	20/10/2016	
General Counsel	Working Meal	Tory's	13/10/2016	20/10/2016	

C1 - Public

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Senior Analyst	Working Meal	Orion Healthcare	20/10/2016	31/10/2016	
Analyst	Working Meal	Fletcher Building	20/10/2016	19/09/2016	
Head	Other	ANZ	20/10/2016	31/07/2016	Annual NZCID Building Nations Symposium attendance and conference dinner
Portfolio Manager	Working Meal	BNZ	21/10/2016	17/10/2016	
Head	Working Meal	BNZ	21/10/2016	17/10/2016	
Portfolio Manager	Working Meal	BNZ	21/10/2016	17/10/2016	
Senior Tax Advisor	Other	Deloitte	26/10/2016	31/10/2016	Attendance at Deloitte Tax Conference in Auckland - one night's accommodation and meals provided.
Head	Other	Deloitte	26/10/2016	31/10/2016	Attendance at Deloitte Tax Conference in Auckland - one night's accommodation and meals provided.
GM	Working Meal	NTAM	27/10/2016	28/10/2016	
Head	Working Meal	NTAM	27/10/2016	28/10/2016	
Senior Advisor	Working Meal	NTAM	27/10/2016	28/10/2016	
Head	Working Meal	NTAM	27/10/2016	28/10/2016	

C1 - Public

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Senior Analyst	Working Meal	Washington State Investment Board	28/10/2016	09/12/2016	
Portfolio Manager	Working Meal	Linklaters	28/10/2016	28/10/2016	
Legal Counsel	Working Meal	Linklaters	28/10/2016	28/10/2016	
Senior Tax Advisor	Working Meal	PWC	07/11/2016	29/11/2016	
Analyst	Working Meal	Ngai Tahu Property	08/11/2016	09/11/2016	
GM	Working Meal	Northern Trust	14/11/2016	30/11/2016	
Head	Working Meal	Northern Trust	14/11/2016	30/11/2016	
Senior Operations Analyst	Working Meal	Northern Trust	14/11/2016	30/11/2016	
Senior Investment Strategist	Working Meal	Northern Trust	14/11/2016	30/11/2016	
Senior Tax Advisor	Other	PWC	14/11/2016	29/11/2016	PWC SWF Tax Directors Forum Sydney - Conference meals and drinks
Senior Tax Advisor	Other	PWC	14/11/2016	29/11/2016	PWC SWF Tax Directors Forum Sydney - Conference meals and drinks
Head	Other	PWC	14/11/2016	29/11/2016	PWC SWF Tax Directors Forum Sydney - Conference meals and drinks
Head	Working Meal	Russell McVeagh	16/11/2016	29/11/2016	

C1 - Public

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Head	Working Meal	Australian Super	17/11/2016	18/11/2016	
Portfolio Manager	Working Meal	BNZ	21/11/2016	29/11/2016	
GM	Working Meal	CBA	24/11/2016	24/11/2016	
Manager	Working Meal	CBA	24/11/2016	24/11/2016	
Head	Working Meal	CBA	24/11/2016	24/11/2016	
Head	Working Meal	CBA	24/11/2016	24/11/2016	
Senior Tax Advisor	Other	Chapman Tripp	25/11/2016	29/11/2016	Plunket Charity Lunch
Head	Other	Chapman Tripp	25/11/2016	29/11/2016	Plunket Charity Lunch
Senior Operations Analyst	Working Meal	Northern Trust	29/11/2016	01/12/2016	
Head	Working Meal	Northern Trust	29/11/2016	01/12/2016	
Legal Counsel	Working Meal	Prospective Investee Company	29/11/2016	01/12/2016	
Head	Working Meal	Prospective Investee Company	29/11/2016	01/12/2016	
Manager	Working Meal	Prospective Investee Company	29/11/2016	01/12/2016	
Analyst	Working Meal	Craigs Investment Partners	30/11/2016	02/12/2016	
Head	Working Meal	Movac	01/12/2016	12/12/2016	

C1 - Public

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Senior Business Systems Analyst	Working Meal	TMX Razor Risk Technologies	01/12/2016	05/12/2016	
Analyst	Working Meal	Ryman Healthcare	01/12/2016	02/12/2016	
Senior Investment Operations Analyst	Working Meal	Goldman Sachs	01/12/2016	02/12/2016	
Investment Operations Analyst	Working Meal	Goldman Sachs	01/12/2016	02/12/2016	
Investment Operations Analyst	Working Meal	Goldman Sachs	01/12/2016	02/12/2016	
Investment Operations Analyst	Working Meal	Goldman Sachs	01/12/2016	02/12/2016	
Manager	Working Meal	Goldman Sachs	01/12/2016	02/12/2016	
Derivatives Operations Analyst	Working Meal	Goldman Sachs	01/12/2016	02/12/2016	
Manager	Other	Datacom	01/12/2016	13/02/2017	
Manager	Working Meal	UBS FX	06/12/2016	07/12/2016	
Head	Working Meal	UBS FX	06/12/2016	07/12/2016	
Senior Analyst	Working Meal	State Street	07/12/2016	09/12/2016	

C1 - Public

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Senior Investment Strategist	Working Meal	State Street	07/12/2016	09/12/2016	
Senior Operations Analyst	Working Meal	JPMorgan	07/12/2016	08/12/2016	
Senior Analyst	Working Meal	Northern Trust	08/12/2016	09/12/2016	
Senior Investment Strategist	Working Meal	Northern Trust	08/12/2016	09/12/2016	
Head	Working Meal	UBS	08/12/2016	08/12/2016	
Senior Operations Analyst	Working Meal	Thomas Murray	08/12/2016	08/12/2016	
Portfolio Manager	Working Meal	Citibank	12/12/2016	16/01/2017	
Portfolio Manager	Working Meal	Citibank	12/12/2016	16/01/2017	
Head	Working Meal	Bell Gully	13/12/2016	13/01/2017	
Senior Tax Advisor	Working Meal	Bell Gully	13/12/2016	13/01/2017	
Head	Working Meal	Bell Gully	13/12/2016	13/01/2017	
Analyst	Working Meal	Bell Gully	13/12/2016	13/01/2017	
Manager	Working Meal	Bell Gully	13/12/2016	13/01/2017	
Portfolio Manager	Working Meal	Citibank	13/12/2016	16/01/2017	
Portfolio Manager	Working Meal	Citibank	13/12/2016	16/01/2017	

C1 - Public

Position	Description of hospitality	From	Date Received	Date Approved	Notes
Portfolio Manager	Working Meal	Morgan Stanley	14/12/2016	16/01/2017	
Portfolio Manager	Working Meal	Morgan Stanley	14/12/2016	16/01/2017	
Senior Analyst	Working Meal	Mint Asset Management	15/12/2016	19/12/2016	
Head	Working Meal	Mint Asset Management	15/12/2016	19/12/2016	
Analyst	Working Meal	Mint Asset Management	15/12/2016	19/12/2016	
Manager	Working Meal	Mint Asset Management	15/12/2016	19/12/2016	
Operational Due Diligence Analyst	Working Meal	Mint Asset Management	15/12/2016	19/12/2016	
Analyst	Working Meal	Mint Asset Management	15/12/2016	19/12/2016	
Manager	Working Meal	ANZ	15/12/2016	16/12/2016	
Head	Working Meal	ANZ	15/12/2016	16/12/2016	
IT Operations Engineer	Working Meal	PB Technologies	15/12/2016	14/12/2016	
IT Desktop Support	Working Meal	PB Technologies	15/12/2016	14/12/2016	
Head	Working Meal	Insight Creative	15/12/2016	1/02/2017	
Communications Advisor	Working Meal	Insight Creative	15/12/2016	1/02/2017	
Senior Accountant	Working Meal	Insight Creative	15/12/2016	1/02/2017	

C1 - Public

Position	Description of hospitality	From	Date Received	Date Approved	Notes
Senior Financial Accountant	Working Meal	Insight Creative	15/12/2016	1/02/2017	
Head	Working Meal	Insight Creative	15/12/2016	1/02/2017	
Head	Working Meal	Goldman Sachs	19/12/2016	20/12/2016	
Portfolio Manager	Working Meal	Goldman Sachs	19/12/2016	20/12/2016	
Portfolio Manager	Working Meal	Goldman Sachs	19/12/2016	20/12/2016	
Portfolio Manager	Working Meal	Goldman Sachs	19/12/2016	20/12/2016	
Senior Analyst	Working Meal	First NZ Capital	19/12/2016	11/01/2017	
Senior Analyst	Working Meal	First NZ Capital	19/12/2016	11/01/2017	
Analyst	Working Meal	First NZ Capital	19/12/2016	11/01/2017	
Head	Working Meal	CFIs Group	21/12/2016	20/01/2017	
Analyst	Working Meal	CFIs Group	21/12/2016	20/01/2017	

C1 - Public

Guardians of New Zealand Superannuation - Gift Register 1 July 2016 – 31 December 2016

As outlined in the Guardians' Code of Conduct, receiving gifts is appropriate in some circumstances. These circumstances include:

- A formal gift from a sovereign wealth or pension fund, or a national representative;
- Gifts to recognise speaking participation in forums.

Guardians employees are not permitted to accept gifts during a tender, due diligence, procurement or appointment situation. It is also organisational policy that any gifts received become the property of the Guardians, not the individual. Gifts are either shared with the team, or pooled and raffled as part of an annual staff event and the proceeds donated to charity. Any exceptions have been noted.

Position	Description of gift	From	Date	Date Approved	Notes
CEO	Alcohol	BNZ	06/07/2016	08/07/2016	Added to Xmas Raffle
Senior Investment Strategist	Souvenir or Memento	Otago University	12/07/2016	26/07/2016	Added to Xmas Raffle
CEO	Alcohol	Taranaki Investment Management Ltd (TIML)	20/07/2016	03/08/2016	Added to Xmas Raffle
Head	Alcohol	Taranaki Investment Management Ltd (TIML)	20/07/2016	03/08/2016	Added to Xmas Raffle
Head	Alcohol	Australasian Investor Relations Association	21/07/2016	21/07/2016	Added to Xmas Raffle
Application Administrator	Other	Potentia Recruitment	08/08/2016	10/08/2016	Retained by Guardians – Cake for new starter retained as unlikely to survive until the Xmas Raffle

C1 - Public

Position	Description of gift	From	Date	Date Approved	Notes
CEO	Accommodation	University of Wyoming & Wyoming State Treasurer's Office	23/08/2016	14/09/2016	Retained by Staff Member - Accommodation and economy class flights provided by conference organisers as this was a speaking engagement for the CEO
Analyst	Other	New Zealand King Salmon Limited	30/08/2016	30/08/2016	Retained by Guardians - Regal smoked salmon retained as unlikely to survive until the Xmas Raffle
Head	Souvenir or Memento	Great Wall of China Asset Management	05/09/2016	06/09/2016	Added to Xmas Raffle
Head	Alcohol	Context Architects Limited	09/09/2016	09/09/2016	Added to Xmas Raffle
Senior Analyst	Alcohol	Context Architects Limited	09/09/2016	09/09/2016	Added to Xmas Raffle
Head	Alcohol	NZ Trade and Enterprise	14/09/2016	15/09/2016	Added to Xmas Raffle
Head	Other	NZ Trade & Enterprise	14/09/2016	08/09/2016	Retained by Staff Member - Accommodation and Flights provided by conference organisers as this was a speaking engagement
Head	Flowers	Probus	16/09/2016	19/09/2016	Retained by Staff Member – Orchid plant retained at Guardians as unlikely to survive until the Xmas Raffle
Head	Alcohol	FMA	21/09/2016	30/09/2016	Added to Xmas Raffle

C1 - Public

Position	Description of gift	From	Date	Date Approved	Notes
CEO	Accommodation	PIAC (Pension Investment Association of Canada)	27/09/2016	23/09/2016	Retained by Staff Member - Accommodation in 4 start hotel provided by conference organisers as this was a speaking engagement for the CEO
CEO	Other	AIMCO	28/09/2016	03/10/2016	Retained by Staff Member – Airport car transfers in Edmonton
CEO	Other	Pension Investment Assoc. Canada PIAC	07/10/2016	29/10/2016	Returned to Sender - Donation on AO's behalf to Canadian Red Cross Alberta Fires appeal
Head	Alcohol	NBR	14/10/2016	20/10/2016	Added to Xmas Raffle
Senior Software Developer	Other	Potentia Recruitment Ltd	17/10/2016	17/10/2016	Retained by Guardians – Cake for new starter retained as unlikely to survive until the Xmas Raffle
Head	Other	Medical Assurance Society (MAS)	26/10/2016	20/10/2016	Retained by Staff Member - flights provided by conference organisers as this was a speaking engagement
Head	Alcohol	TIAA Global Asset Management	01/11/2016	14/11/2016	Added to Xmas Raffle
Head	Alcohol	TIAA Global Asset Management	01/11/2016	14/11/2016	Added to Xmas Raffle
Strategic Development Advisor	Gift Basket	Trust Fund for the People of the Federated States of Micronesia	10/11/2016	14/11/2016	Added to Xmas Raffle

C1 - Public

Position	Description of gift	From	Date	Date Approved	Notes
Strategic Development Advisor	Flowers	Northern Trust	14/11/2016	23/11/2016	Retained by Guardians - retained as unlikely to survive until the Xmas Raffle
GM	Souvenir or Memento	Ithmar Capital (Morocco SWF)	17/11/2016	22/11/2016	Added to Xmas Raffle
General Counsel	Other	Russell McVeagh	25/11/2016	28/11/2016	Retained by Guardians – Chocolate cake retained as unlikely to survive until the Xmas Raffle
Head	Flowers	TupuToa	29/11/2016	29/11/2016	Retained by Guardians - Flowers received in relation to bereavement of a staff member.
Manager	Alcohol	NZ Tax Tradung	30/11/2016	06/12/2016	Added to Xmas Raffle
Senior Advisor	Souvenir or Memento	Ithmar Capital (Morocco SWF)	01/12/2016	02/12/2016	Added to Xmas Raffle
Head	Alcohol	Robert Walters	05/12/2016	05/12/2016	Added to Xmas Raffle
Human Resources Coordinator	Alcohol	Robert Walters	05/12/2016	05/12/2016	Added to Xmas Raffle
Manager	Other	Farmright	05/12/2016	05/12/2016	Added to Xmas Raffle - Gift Voucher for a meal at Baduzzi
Head	Other	Deloitte	12/12/2016	15/12/2016	Added to Xmas Raffle – Christmas pudding
Communications Advisor	Other	Multimedia Ltd	12/12/2016	14/12/2016	Added to Xmas Raffle – Christmas cake

C1 - Public

Position	Description of gift	From	Date	Date Approved	Notes
Head	Other	Multimedia Ltd	12/12/2016	15/12/2016	Added to Xmas Raffle – Christmas cake
Senior Advisor	Other	Multimedia Ltd	12/12/2016	15/12/2016	Added to Xmas Raffle – Christmas cake
Strategic Development Advisor	Other	Multimedia Ltd	12/12/2016	15/12/2016	Added to Xmas Raffle – Christmas cake
GM	Other	Nibblish	12/12/2016	12/12/2016	Added to Xmas Raffle - Promotional gift of snacks, nuts, berries
Head	Gift Basket	Convergence Partners	12/12/2016	12/12/2016	Added to Xmas Raffle
Senior Analyst	Book	Forsyth Barr	13/12/2016	13/12/2016	Added to Xmas Raffle
Accountant	Other	Six Degrees	14/12/2016	15/12/2016	Retained by Guardians – Chocolate cake retained as unlikely to survive until the Xmas Raffle
Head	Alcohol	KPMG	15/12/2016	19/12/2016	Added to Xmas Raffle
Head	Gift Basket	Devon Funds	15/12/2016	19/12/2016	Retained by Guardians – Cherries retained as unlikely to survive until the Xmas Raffle
Head	Other	Sweeny Vesty	15/12/2016	15/12/2016	Added to Xmas Raffle – Make-up
Head	Other	Grafton Consulting	16/12/2016	16/12/2016	Retained by Guardians– Christmas mince pies retained as unlikely to survive until the Xmas Raffle

C1 - Public

Position	Description of gift	From	Date	Date Approved	Notes
Human Resources Coordinator	Other	Grafton Consulting	16/12/2016	16/12/2016	Retained by Guardians– Christmas mince pies retained as unlikely to survive until the Xmas Raffle
Head	Alcohol	Clearpoint	19/12/2016	19/12/2016	Added to Xmas Raffle
Head	Alcohol	KPMG	20/12/2016	20/12/2016	Added to Xmas Raffle
Head	Other	Bridgewater	20/12/2016	21/02/2017	Donation USD \$10,000 gifted to Takahanga Marae in Kaikōura
Human Resources Coordinator	Other	Emergent	22/12/2016	22/12/2016	Added to Xmas Raffle - chocolates
Head	Other	Emergent	22/12/2016	22/12/2016	Added to Xmas Raffle - chocolates
Manager	Other	Timber Investment Resources USA	22/12/2016	22/12/2016	Added to Xmas Raffle – Pecan nuts