

Guardians of New Zealand Superannuation - Hospitality Register 1 January 2013 – 30 June 2013

As outlined in the Guardians' Code of Conduct, receiving hospitality is appropriate in some circumstances. These circumstances include:

- Incumbent investment managers' client functions where accommodation is offered to all clients;
- Meals and/or accommodation provided as part of business-as-usual work with incumbent investment managers; and
- Relationship-building with professional advisers, or other service providers.

Participating in industry networking functions is also an appropriate and necessary part of our work.

Guardians employees are not permitted to accept hospitality during a tender, due diligence, procurement or appointment situation.

Position	Description of hospitality	From	Date Received	Date Approved	Notes
GM	Breakfast	ANZ	15/03/2013	11/02/2013	Working meal
Head	Dinner	ANZ	15/03/2013	12/02/2013	Networking event. ANZ Bank business women's dinner.
Manager	Lunch	ANZ Bank	13/02/2013	14/02/2013	Working meal
Manager	Lunch	BNZ	18/03/2013	18/03/2013	Working meal
Head	Christmas Party	Datacom	4/12/2012	30/01/2013	
IT Infrastructure Engineer	Christmas Party	Datacom	4/12/2012	30/01/2013	
Head	Working Meal	Deloitte	20/12/2012	15/01/2013	
Legal Counsel	Working Meal	Deloitte	20/12/2012	15/01/2013	
Manager	Working meal	Deutsche Bank	15/03/2013	18/03/2013	
Head	Working Meal	Deutsche Bank	26/03/2013	27/03/2013	

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Head	Dinner and one night's accommodation.	Ernst & Young	18/02/2013	21/01/2013	Included as part of EY Annual Tax Directors Forum.
Head	Dinner and one night's accommodation.	Ernst & Young	18/02/2013	25/02/2013	Included as part of EY Annual Tax Directors Forum.
Manager	Dinner	FloDesign Corp	16/06/2012	8/03/2013	
Manager	Breakfast	HSBC	10/01/2013	10/01/2013	
Head	Lunch	Macquarie	8/02/2013	8/02/2013	
Head	Conference	Northern Trust	13/02/2013	22/01/2013	ACSA Custodial Investment Administration Conference attendance (Northern Trust is sponsor)
Senior Operations Analyst	Conference	Northern Trust	13/02/2013	22/01/2013	ACSA Custodial Investment Administration Conference attendance (Northern Trust is sponsor)
Head	Working Meal	Northern Trust	25/02/2013	25/02/2013	
GM	Working Meal	Northern Trust	25/02/2013	25/02/2013	
Senior Operations Analyst	Working Meal	Northern Trust	25/02/2013	25/02/2013	
Head	Working Meal	Northern Trust	22/03/2013	21/03/2013	
Manager	Working Meal	Northern Trust	22/03/2013	21/03/2013	
Investment Operations Analyst	Working Meal	Northern Trust	22/03/2013	21/03/2013	
Manager	Working Meal	Northern Trust	22/03/2013	21/03/2013	
Investment Operations Analyst	Working Meal	Northern Trust	22/03/2013	21/03/2013	
Senior Investment Operations Analyst	Working Meal	Northern Trust	22/03/2013	21/03/2013	

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Investment Operations Analyst	Working Meal	Northern Trust	22/03/2013	21/03/2013	
Derivatives Operations Analyst	Working Meal	Northern Trust	22/03/2013	21/03/2013	
Manager	Sporting Event	Pioneer Capital	4/02/2013	22/02/2013	
Head	Working Meal	Russell McVeagh	20/12/2013	15/01/2013	
Legal Counsel	Working Meal	Russell McVeagh	20/12/2013	15/01/2013	
Head	Lunch	Russell McVeagh	5/03/2013	6/03/2013	Working meal
General Counsel	Fundraising event	Russell McVeagh	13/03/2013	22/03/2013	So They Can (Children in Africa)- special fundraising event; includes partner
Analyst	Working Meal	Sankaty	20/03/2013	8/03/2013	
Manager	Drinks	Shoreline	21/03/2013	23/03/2013	
GM	Drinks	Shoreline	21/03/2013	23/03/2013	
Manager	Working Meal	The New Zealand Tax Trading Company	8/02/2013	8/02/2013	
Head	Working Meal	The New Zealand Tax Trading Company	8/02/2013	8/02/2013	
Head	Dinner	UBS New Zealand	14/02/2013	11/02/2013	Westpac Halberg Awards Dinner.
Manager	Dinner and Drinks	Waterman Capital	31/01/2013	12/02/2013	Annual client event
Senior Analyst	Dinner and Drinks	Waterman Capital	31/01/2013	12/02/2013	Annual client event
Analyst	Dinner and Drinks	Waterman Capital	31/01/2013	12/02/2013	Annual client event
Senior Analyst	Dinner and Drinks	Waterman Capital	31/01/2013	12/02/2013	Annual client event
Head	Dinner and Drinks	Waterman Capital	31/01/2013	12/02/2013	Annual client event
GM	Working Meal	Webb Henderson	17/01/2013	21/01/2013	

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Manager	Working Meal	Webb Henderson	17/01/2013	21/01/2013	
Manager	Working Meal	Webb Henderson	17/01/2013	21/01/2013	
Legal Counsel	Working Meal	Webb Henderson	17/01/2013	21/01/2013	
Legal Counsel	Working Meal	Webb Henderson	17/01/2013	21/01/2013	
GM	Dinner and Drinks	Westpac	12/02/2013	12/02/2013	
Senior Analyst	Dinner and Drinks	Westpac	12/02/2013	13/02/2013	
GM	Dinner and Drinks	Westpac	12/02/2013	13/02/2013	
Manager	Working Meal	Westpac	12/02/2013	18/02/2013	
Head	Working Meal	William Blair	13/02/2013	13/02/2013	
Senior Analyst	Working Meal	William Blair	13/02/2013	13/02/2013	
Senior Investment Strategist	Working Meal	William Blair	13/02/2013	13/02/2013	
Head	Working Meal	William Blair	13/02/2013	13/02/2013	
GM	Dinner	Willis Bond	13/02/2013	15/02/2013	
CEO	Drinks	Westpac Bank	26/06/2013	8/07/2013	
Head	Drinks	Westpac Bank	26/06/2013	8/07/2013	
CEO	Drinks	Milford Asset Management	26/06/2013	8/07/2013	
Head	Drinks	Milford Asset Management	26/06/2013	8/07/2013	
Manager	Working Meal	ANZ Bank	28/06/2013	1/07/2013	
Head	Other	Russell McVeagh	23/06/2013	30/06/2013	Family movie day

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Head	Working Meal	IIR	17/04/2013	30/06/2013	
Senior Analyst	Other	FarmRight Ltd	27/06/2013	28/06/2013	1 day Breakfast, lunch and Dinner and 1 night's accommodation in Hamilton. Included as part of FarmRight Farm Managers' Conference.
Manager	Other	FarmRight Ltd	27/06/2013	28/06/2013	1 day Breakfast, lunch and Dinner and 1 night's accommodation in Hamilton. Included as part of FarmRight Farm Managers' Conference.
GM	Other	Westpac Bank	9/06/2013	28/06/2013	Kids All Blacks training session
Head	Working Meal	Heidrick & Struggles	27/06/2013	27/06/2013	
Senior Analyst	Other	NZ Carbon Farming	15/06/2013	26/06/2013	Shared airport transfers to the airport from Hotel in Hong Kong. The transfers were booked and paid by NZ Carbon Farming.
Head	Working Meal	NZ Shareholders Association (Waikato Branch)	25/06/2013	26/06/2013	
Head	Working Meal	Leadenhall Capital Partners	17/06/2013	26/06/2013	
Manager	Working Meal	Direct Capital	25/06/2013	25/06/2013	
Senior Analyst	Working Meal	Direct Capital	25/06/2013	25/06/2013	
GM	Working Meal	Northern Trust	11/06/2013	25/06/2013	
Head	Working Meal	Northern Trust	11/06/2013	25/06/2013	
CEO	Drinks	Reserve Bank	19/06/2013	24/06/2013	
CEO	Working Meal	Tainui Group Holdings	29/05/2013	24/06/2013	
Head	Working Meal	Morgan Stanley	21/06/2013	21/06/2013	
Legal Counsel	Other	Russell McVeagh	10/06/2013	21/06/2013	Family movie day. Paid for by staff member. Disclosed in the interests of transparency.

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Head	Working Meal	Ernst & Young	20/06/2013	20/06/2013	
GM	Working Meal	CPPIB	11/06/2013	18/06/2013	
Head	Working Meal	Westpac Bank	12/06/2013	17/06/2013	
Manager	Working Meal	Westpac Bank	12/06/2013	17/06/2013	
Head	Working Meal	BNZ	30/05/2013	17/06/2013	
Head	Working Meal	Willis Bond	12/06/2013	17/06/2013	
Portfolio Risk Analyst	Dinner and Comedy Show	Ortec Finance	28/05/2013	13/06/2013	
Portfolio Risk Analyst	Working Meal	Ortec Finance	31/05/2013	13/06/2013	
Portfolio Risk Analyst	Working Meal	Ortec Finance	22/05/2013	13/06/2013	
Head	Other	Russell McVeagh	11/06/2013	11/06/2013	Family movie day
Head	Drinks	Milford Asset Management	26/06/2013	8/07/2013	
Head	Working Meal	Citibank	5/06/2013	7/06/2013	
GM	Working Meal	Citibank	5/06/2013	7/06/2013	
Head	Other	Russell McVeagh	23/06/2013	30/06/2013	Family movie day
Manager	Working Meal	BNZ	28/05/2013	4/06/2013	
Head	Working Meal	Sankaty	14/05/2013	27/05/2013	
Head	Working Meal	HIG Bayside	15/05/2013	27/05/2013	
Head	Working Meal	Wellcome Trust	15/05/2013	27/05/2013	
Board Member	Working Meal	Wellcome Trust	15/05/2013	27/05/2013	

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CEO	Working Meal	Wellcome Trust	15/05/2013	27/05/2013	
Head	Working Meal	Blenheim	16/05/2013	27/05/2013	
Head	Working Meal	PGGM Co	17/05/2013	27/05/2013	
Head	Working Meal	Actis	20/05/2013	27/05/2013	
Head	Drinks	Senate SHJ	23/05/2013	24/05/2013	
Manager	Working Meal	Westpac Bank	23/05/2013	24/05/2013	
Head	Dinner	Clearpoint	23/05/2013	24/05/2013	KEA World Class Awards Dinner
GM	Working Meal	Institutional Investors	17/04/2013	23/05/2013	
CEO	Dinner	Kornferry	11/04/2013	22/05/2013	KEA World Class Awards Dinner
Manager	Dinner	William Blair	20/05/2013	21/05/2013	
Manager	Dinner	William Blair	20/05/2013	21/05/2013	
Senior Investment Strategist	Dinner	William Blair	20/05/2013	21/05/2013	
CEO	Working Meal	Institutional Investors	13/05/2013	20/05/2013	
CEO	Working Meal	Institutional Investors	15/05/2013	20/05/2013	
CEO	Working Meal	Institutional Investors	16/05/2013	20/05/2013	
CEO	Working Meal	Institutional Investors	17/05/2013	20/05/2013	
CEO	Working Meal	Institutional Investors	16/05/2013	20/05/2013	
Manager	Working Meal	Cameron Partners	17/04/2013	20/05/2013	
Manager	Dinner	Cameron Partners	17/04/2013	20/05/2013	

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Legal Counsel	Working Meal	Barclays Capital	20/05/2013	20/05/2013	
Manager	Working Meal	Barclays Capital	20/05/2013	20/05/2013	
Manager	Working Meal	Barclays Capital	20/05/2013	20/05/2013	
Head	Working Meal	Barclays Capital	20/05/2013	20/05/2013	
Head	Other	Westpac Bank	17/05/2013	17/05/2013	John Key budget speech
Head	Working Meal	JP Morgan	15/05/2013	17/05/2013	
Manager	Working Meal	JP Morgan	15/05/2013	17/05/2013	
Senior Operations Analyst	Working Meal	JP Morgan	15/05/2013	17/05/2013	
Legal Counsel	Dinner	Cameron Partners	17/04/2013	20/05/2013	
Senior Analyst	Working Meal	CITP	23/04/2013	13/05/2013	
Manager	Working Meal	CITP	23/04/2013	13/05/2013	
Senior Analyst	Other	Bloom Energy	4/05/2013	13/05/2013	Sightseeing in San Francisco and dinner.
Senior Analyst	Working Meal	Bloom Energy	5/05/2013	6/01/2014	
Manager	Dinner	UBS	2/04/2013	8/05/2013	Institute of Finance Professionals New Zealand Inc. (INFINZ) dinner
Senior Financial Accountant	Dinner	Future Fund	2/05/2013	8/05/2013	Dinner in Melbourne with peer funds - Future Fund and QIC
GM	Working Meal	Future Fund	2/05/2013	8/05/2013	
Head	Other	AMP Capital	2/05/2013	6/05/2013	Family day at the movies
Head	Working Meal	Future Fund	2/05/2013	8/05/2013	
CEO	Working Meal	ANZ Bank	2/05/2013	3/05/2013	

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CEO	Working Meal	ANZ Bank	3/05/2013	3/05/2013	
GM	Working Meal	ANZ Bank	3/05/2013	3/05/2013	
Head	Working Meal	ANZ Bank	3/05/2013	3/05/2013	
Head	Dinner	Cameron Partners	17/04/2013	20/05/2013	
Head	Other	KPMG	23/04/2013	1/05/2013	Various meals and drinks at KPMG Asia Pacific Tax Conference Shanghai 23 to 25 April 2013. Included as part of conference.
Manager	Other	Craigs Investment Partners	8/05/2013	1/05/2013	Institute of Finance Professionals New Zealand Inc (INFINZ) dinner
Head	Working Meal	BNP	19/04/2013	29/04/2013	
Manager	Working Meal	BNP	19/04/2013	29/04/2013	
Head	Other	aiCIO	10/04/2013	29/04/2013	Three nights in NYC hotel to speak at the aiCIO CIO Summit April 2013
Manager	Working Meal	Secured Capital Japan	15/04/2013	23/04/2013	
Manager	Working Meal	Hamilton Lane	12/04/2013	23/04/2013	
Manager	Working Meal	Harbourvest	11/04/2013	23/04/2013	
Manager	Working Meal	Morgan Stanley	8/04/2013	23/04/2013	
Manager	Drinks	Adams Street Partners	8/04/2013	16/04/2013	
Manager	Working Meal	Gaw Capital (Gateway III Fund)	10/04/2013	23/04/2013	
Senior Analyst	Working Meal	Cameron Partners	17/04/2013	20/05/2013	
Manager	Working Meal	Temasek	8/04/2013	23/04/2013	
Manager	Working Meal	BNP	16/04/2013	29/04/2013	

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Manager	Working Meal	HSBC	18/04/2013	22/04/2013	
Senior Analyst	Working Meal	Bloomberg	12/04/2013	22/04/2013	
CEO	Working Meal	CCC	18/04/2013	20/04/2013	
CEO	Working Meal	CCC	17/04/2013	20/04/2013	
CEO	Working Meal	Emory Business School Centre	17/04/2013	20/04/2013	
CEO	Working Meal	Emory Business School Centre	16/04/2013	20/04/2013	
Senior Analyst	Working Meal	Deustche Bank	16/04/2013	19/04/2013	
Head	Working Meal	Deustche Bank	16/04/2013	19/04/2013	
Senior Analyst	Working Meal	Canyon Capital Advisors	17/04/2013	19/04/2013	
Senior Analyst	Working Meal	Canyon Capital Advisors	17/04/2013	19/04/2013	
Head	Working Meal	Canyon Capital Advisors	17/04/2013	19/04/2013	
Manager	Working Meal	BNP	19/04/2013	29/04/2013	
Head	Working Meal	Canyon Capital Advisors	17/04/2013	18/04/2013	
Head	Working Meal	Deustche Bank	16/04/2013	19/04/2013	
Head	Working Meal	Morgan Stanley	8/04/2013	23/04/2013	
Head	Drinks	Adams Street Partners	8/04/2013	16/04/2013	
Head	Working Meal	Temasek	8/04/2013	23/04/2013	
Head	Working Meal	Gaw Capital (Gateway III Fund)	9/04/2013	16/04/2013	
Head	Drinks	Gaw Capital (Gateway III Fund)	9/04/2013	16/04/2013	

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Head	Working Meal	Harbourvest	11/04/2013	23/04/2013	
Head	Dinner	UBS	8/05/2013	16/04/2013	Institute of Finance Professionals New Zealand Inc (INFINZ) dinner
GM	Working Meal	Goldman Sachs	4/04/2013	12/04/2013	
GM	Working Meal	Barclays Capital	4/04/2013	12/04/2013	
Manager	Working Meal	Goldman Sachs	4/04/2013	12/04/2013	
Manager	Working Meal	Barclays Capital	4/04/2013	12/04/2013	
CEO	Working Meal	Citibank	5/04/2013	12/04/2013	
Head	Dinner	ANZ Bank	12/04/2013	9/04/2013	Fundraising dinner for So They Can African charity.
GM	Other	First NZ Capital	17/05/2013	4/04/2013	Weekend event at Huka Lodge with various of their corporate and institutional clients and their partners. Paid for personally by staff member. Disclosed in the interests of transparency.
Head	Other	PWC	17/05/2013	20/05/2013	Attendance at Trans-Tasman Business Circle post -budget briefing by John Key including lunch.
Head	Working Meal	NZVCA	23/05/2013	6/11/2013	