

Guardians of New Zealand Superannuation - Hospitality Register 1 July 2012 – 31 December 2012

As outlined in the Guardians' Code of Conduct, receiving hospitality is appropriate in some circumstances. These circumstances include:

- Incumbent investment managers' client functions where accommodation is offered to all clients;
- Meals and/or accommodation provided as part of business-as-usual work with incumbent investment managers; and
- Relationship-building with professional advisers, or other service providers.

Participating in industry networking functions is also an appropriate and necessary part of our work.

Guardians employees are not permitted to accept hospitality during a tender, due diligence, procurement or appointment situation.

Position	Description of hospitality	From	Date Received	Date Approved	Notes
Head	Dinner	Credit Suisse	26/07/2012	27/07/2012	
Head	Drinks	Chapman Tripp	31/07/2012	1/08/2012	
Manager	Lunch	ANZ	2/08/2012	2/08/2012	Working meal
Manager	Lunch	Westpac	24/07/2012	3/08/2012	Working meal
Manager	Dinner	ANZ	3/08/2012	3/08/2012	
Manager	Lunch	ANZ	2/08/2012	3/08/2012	Working meal
Derivatives Operations Analyst	Lunch	Northern Trust	22/08/2012	24/08/2012	
Head	Dinner	Northern Trust	30/08/2012	31/08/2012	
Legal Counsel	Dinner	Chapman Tripp	21/08/2012	3/09/2012	
Head	Lunch	Northern Trust	3/09/2012	4/09/2012	

Senior Financial Accountant	Lunch	Northern Trust	3/09/2012	4/09/2012	
Financial Accountant	Lunch	Northern Trust	3/09/2012	4/09/2012	
Senior Tax Advisor	Lunch	Deloitte	14/09/2012	17/09/2012	
Head	Lunch	Deloitte	14/09/2012	17/09/2012	
Financial Accountant	Lunch	Deloitte	14/09/2012	17/09/2012	
Head	Dinner	Northern Trust	18/09/2012	20/09/2012	
Manager	Dinner	Deutsche Bank	13/09/2012	21/09/2012	
GM	Lunch	Gaw Capital	6/09/2012	26/09/2012	
Board Member	Lunch	Gaw Capital	6/09/2012	26/09/2012	
Board Member	Lunch	Gaw Capital	6/09/2012	26/09/2012	
Board Member	Lunch	Gaw Capital	6/09/2012	26/09/2012	
GM	Lunch	Tangshan Steel Company	5/09/2012	26/09/2012	
Board Member	Lunch	Tangshan Steel Company	5/09/2012	26/09/2012	
Board Member	Lunch	Tangshan Steel Company	5/09/2012	26/09/2012	
Board Member	Lunch	Tangshan Steel Company	5/09/2012	26/09/2012	
GM	Breakfast	Temasek	5/09/2012	26/09/2012	Working meal
Board Member	Breakfast	Temasek	5/09/2012	26/09/2012	Working meal
Board Member	Breakfast	Temasek	5/09/2012	26/09/2012	Working meal
Board Member	Breakfast	Temasek	5/09/2012	26/09/2012	Working meal
GM	Lunch	China Investment Corporation	4/09/2012	26/09/2012	

Board Member	Lunch	China Investment Corporation	4/09/2012	26/09/2012	
Board Member	Lunch	China Investment Corporation	4/09/2012	26/09/2012	
Board Member	Lunch	China Investment Corporation	4/09/2012	26/09/2012	
GM	Lunch	GIC Singapore	4/09/2012	26/09/2012	
Board Member	Lunch	GIC Singapore	4/09/2012	26/09/2012	
Board Member	Lunch	GIC Singapore	4/09/2012	26/09/2012	
Board Member	Lunch	GIC Singapore	4/09/2012	26/09/2012	
GM	Working meal	Morgan Stanley	23/10/2012	28/09/2012	
Operational Due Diligence Analyst	Working lunches, dinner and comedy show	Albourne	2/10/2012	2/11/2012	Included as part of conference
Operational Due Diligence Analyst	Working lunches, dinner and comedy show	Albourne	2/10/2012	2/11/2012	Included as part of conference
Senior Analyst	Working Meal	Auckland University of Technology	19/12/2012	20/12/2012	
Head	Working Meal	Auckland University of Technology	19/12/2012	20/12/2012	
GM	Working Meal	CNI Iwi Holdings	18/10/2012	1/11/2012	
Manager	Working Meal	CNI Iwi Holdings	18/10/2012	1/11/2012	
Manager	Working Meal	Deutsche Bank	12/11/2012	20/11/2012	
Manager	Working Meal	Deutsche Bank	12/11/2012	20/11/2012	
Head	Working Meal	Deutsche Bank	12/11/2012	20/11/2012	
Analyst	Concert	Devon Funds Management	28/11/2012	3/12/2012	

Analyst	Concert	Devon Funds Management	28/11/2012	3/12/2012	
GM	Working lunch, drinks and dinner, overnight accommodation	Direct Capital	1/11/2012	6/11/2012	Included as part of conference
Senior Analyst	Working Meal	Direct Capital	1/11/2012	6/11/2012	
GM	Drinks	Ernst & Young	5/12/2012	7/12/2012	
Head	Working Meal	Gladius	17/12/2012	20/12/2012	
Senior Investment Strategist	Working Meal	Gladius	17/12/2012	20/12/2012	
Head	Working Meal	Northern Trust	11/12/2012	14/12/2012	
Head	Working Meal	Orchard Global Asset Management	11/09/2012	2/10/2012	
Senior Analyst	Working Meal	Orchard Global Asset Management	11/09/2012	2/10/2012	
Manager	Working Meal	PSP	14/11/2012	7/12/2012	
Legal Counsel	Working Meal	PSP	14/11/2012	7/12/2012	
CEO	Working Meal	Tainui Group Holdings	21/11/2012	21/11/2012	
GM	Working Meal	Tainui Group Holdings	21/11/2012	21/11/2012	
Head	Dinner	Tax Management NZ	26/10/2012	5/11/2012	Included as part of conference
Senior Tax Advisor	Dinner	Tax Management NZ	26/10/2012	5/11/2012	Included as part of conference
Manager	Working Meal	Temasek	18/10/2012	18/10/2012	
Head	Conference	Thomas Murray	4/12/2012	4/10/2012	Global Custody Conference attendance
GM	Working Meal	Timberlands	29/11/2012	3/12/2012	
Head	Working Meal	UBS	6/12/2012	3/12/2012	

Head	Working Meal	Waterman Capital	13/11/2012	14/11/2012	
GM	Dinner	Waterman Capital	13/11/2012	15/11/2012	
Head	Dinner	Waterman Capital	13/11/2012	15/11/2012	
Manager	Working Meal	Weil Gotshal and Manges	15/11/2012	27/11/2012	
Legal Counsel	Working Meal	Weil Gotshal and Manges	15/11/2012	27/11/2012	