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Guardians of New Zealand Superannuation - Hospitality Register 1 January 2016 – 30 June 2016

As outlined in the Guardians' Code of Conduct, receiving hospitality is appropriate in some circumstances. These circumstances include:

- Incumbent investment managers' client functions where accommodation is offered to all clients;
- Meals and/or accommodation provided as part of business-as-usual work with incumbent investment managers; and
- Relationship-building with professional advisers, or other service providers.

Participating in industry networking functions is also an appropriate and necessary part of our work.

Guardians employees are not permitted to accept hospitality during a tender, due diligence, procurement or appointment situation.

Position	Description of hospitality	From	Date Received	Date Approved	Notes
Manager	Working Meal	MOVAC	27/01/2016	29/01/2016	
Portfolio Manager	Working Meal	BNP	27/01/2016	28/01/2016	
Head	Working Meal	BNP	27/01/2016	28/01/2016	
Operational Due Diligence Analyst	Working Meal	Creative Spaces	29/01/2016	29/01/2016	
Head	Working Meal	Insight Creative	04/02/2016	05/02/2016	
Executive Assistant to CEO	Working Meal	Citibank	11/02/2016	02/03/2016	
PA Investments	Working Meal	Citibank	11/02/2016	02/03/2016	
GM	Other	Craggy Range Estate	12/02/2016	12/02/2016	Accommodation and business lunch as CIO was keynote speaker

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Position	Description of hospitality	From	Date Received	Date Approved	Notes
Senior Analyst	Working Meal	Northern Trust	15/02/2016	15/03/2016	
Senior Analyst	Working Meal	Dimensional	16/02/2016	15/03/2016	
Senior Tax Advisor	Other	EY	16/02/2016	19/02/2016	EY Tax Directors Forum - Meals and one night accommodation
Head	Other	EY	16/02/2016	19/02/2016	EY Tax Directors Forum - Meals and one night accommodation
Manager	Working Meal	ILPA	17/02/2016	23/02/2016	
Manager	Working Meal	Bloomberg	18/02/2016	22/02/2016	
Derivatives Operations Analyst	Working Meal	Bloomberg	18/02/2016	22/02/2016	
Manager	Working Meal	Bloomberg	18/02/2016	22/02/2016	
Derivatives Operations Analyst	Working Meal	Bloomberg	18/02/2016	22/02/2016	
Senior Analyst	Working Meal	BNP	22/02/2016	15/03/2016	
Head	Other	Northern Trust	24/02/2016	29/01/2016	Investment Operations Conference attendance in Sydney where NT is the sponsor
Senior Operations Analyst	Other	Northern Trust	24/02/2016	29/01/2016	Investment Operations Conference attendance in Sydney where NT is the sponsor

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Position	Description of hospitality	From	Date Received	Date Approved	Notes
Senior Tax Advisor	Working Meal	Corporate Taxpayer Group Advisors (Deloitte, Russell McVeagh and Oliver Shaw)	25/02/2016	29/02/2016	
Senior Tax Advisor	Working Meal	Corporate Taxpayer Group Advisors (Deloitte, Russell McVeagh and Oliver Shaw)	25/02/2016	29/02/2016	
Head	Working Meal	Corporate Taxpayer Group Advisors (Deloitte, Russell McVeagh and Oliver Shaw)	25/02/2016	29/02/2016	
Senior Tax Advisor	Working Meal	Chapman Tripp	26/02/2016	29/02/2016	
Senior Tax Advisor	Working Meal	Chapman Tripp	26/02/2016	29/02/2016	
Head	Working Meal	Chapman Tripp	26/02/2016	29/02/2016	
Head	Working Meal	Amanda Millar	26/02/2016	02/03/2015	
Head	Working Meal	Citibank	29/02/2016	07/03/2016	
Portfolio Manager	Working Meal	Citibank	29/02/2016	07/03/2016	
Head	Working Meal	Morgan Stanley	03/03/2016	07/03/2016	
Portfolio Manager	Working Meal	Morgan Stanley	03/03/2016	07/03/2016	
Manager	Working Meal	CBA	03/03/2016	04/03/2016	

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Manager	Working Meal	ASB Bank	04/03/2016	07/03/2016	
Head	Working Meal	O'Melveny and Myer	08/03/2016	18/03/2016	
Senior Analyst	Working Meal	Tegel Group	10/03/2016	04/04/2016	
Manager	Working Meal	Nomura FX Trader and Sales from NY, Sales from London	16/03/2016	21/03/2016	
GM	Working Meal	Northern Trust	20/03/2016	21/03/2016	
Head	Working Meal	Northern Trust	20/03/2016	21/03/2016	
Senior Operations Analyst	Working Meal	Northern Trust	20/03/2016	21/03/2016	
Manager	Other	Global Forest Partners	21/03/2016	14/04/2016	Accommodation and Meals for 3 day client conference.
Manager	Working Meal	Macquarie	21/03/2016	21/03/2016	
Senior Analyst	Working Meal	Robeco	22/03/2016	15/03/2016	
Senior Analyst	Working Meal	HSBC	23/03/2016	15/03/2016	
Manager	Other	FarmRight	29/03/2016	14/04/2016	One night's accommodation on way back from Blenheim to Christchurch
Head	Working Meal	Datacom	01/04/2016	01/04/2016	
Head	Working Meal	Senate SHJ	07/04/2016	17/03/2016	
Analyst	Working Meal	Ramius Advisors	11/04/2016	02/05/2016	

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Senior Advisor	Working Meal	Ramius Advisors	11/04/2016	02/05/2016	
Senior Advisor	Working Meal	Ramius Advisors	11/04/2016	18/04/2016	
Analyst	Working Meal	Ramius Advisors	11/04/2016	18/04/2016	
Manager	Working Meal	Westpac	11/04/2016	13/04/2016	
Analyst	Working Meal	BlackRock	12/04/2016	02/05/2016	
Senior Advisor	Working Meal	BlackRock	12/04/2016	02/05/2016	
Senior Analyst	Working Meal	Infratil	12/04/2016	14/04/2016	
Manager	Working Meal	Morgan Stanley	12/04/2016	13/04/2016	
Head	Working Meal	Morgan Stanley	12/04/2016	13/04/2016	
Head	Working Meal	SEED	13/04/2016	19/05/2016	
Senior Analyst	Working Meal	Infratil	13/04/2016	14/04/2016	
Head	Working Meal	Private Equity International Conference	14/04/2016	27/04/2016	
Senior Advisor	Working Meal	Elementum Advisors	14/04/2016	18/04/2016	
Manager	Working Meal	CBA/ASB	14/04/2016	15/04/2016	
Manager	Working Meal	CBA/ASB	14/04/2016	15/04/2016	

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Head	Working Meal	Morgan Stanley	15/04/2016	27/04/2016	
Operations Analyst	Working Meal	Northern Trust	20/04/2016	29/04/2016	
Portfolio Risk Analyst	Other	HSBC	25/04/2016	13/05/2016	Sovereigns Training Program, 4-day conference and peer networking opportunity included food. Guardians paid for travel, accommodation and other meals.
Manager	Other	China Infrastructure Partners	27/04/2016	06/05/2016	Travel and meals for investor conference and site visits in Shanghai
Analyst	Other	China Infrastructure Partners	27/04/2016	06/05/2016	Travel and meals for investor conference and site visits in Shanghai
Senior Analyst	Working Meal	Leadenhall	27/04/2016	27/04/2016	
Head	Working Meal	Farm Right	28/04/2016	03/05/2016	
Senior Analyst	Working Meal	Farm Right	28/04/2016	03/05/2016	
Senior Tax Advisor	Working Meal	KPMG	28/04/2016	29/04/2016	
Head	Working Meal	KPMG	28/04/2016	29/04/2016	
Manager	Working Meal	Canvest Environmental Protection Group	29/04/2016	06/05/2016	
Senior Analyst	Working Meal	Ngai Tahu Property	29/04/2016	03/05/2016	
Head	Working Meal	Ngai Tahu Property	29/04/2016	03/05/2016	

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CEO	Working Meal	French Embassy	02/05/2016	15/07/2016	
Head	Working Meal	Northern Trust	02/05/2016	03/05/2016	
Senior Analyst	Working Meal	Forsyth Barr	05/05/2016	09/05/2016	
Manager	Working Meal	CBA	05/05/2016	05/05/2016	
Manager	Working Meal	Goldman Sachs	06/05/2016	06/05/2016	
Head	Working Meal	Morgan Stanley	13/05/2016	13/06/2016	
Head	Working Meal	Gilbert & Tobin	13/05/2016	16/05/2016	
Head	Drinks	Goldman Sachs	13/05/2016	16/05/2016	
Manager	Drinks	Goldman Sachs	13/05/2016	16/05/2016	
Human Resources	Drinks	Goldman Sachs	13/05/2016	16/05/2016	
Head	Working Meal	Morgan Stanley	14/05/2016	13/06/2016	
Head	Working Meal	Bank of America	16/05/2016	13/06/2016	
Portfolio Manager	Working Meal	Bank of America	16/05/2016	13/06/2016	
Portfolio Manager	Working Meal	JPMorgan	17/05/2016	19/05/2016	
Head	Working Meal	JPMorgan	17/05/2016	19/05/2016	
Head	Working Meal	Allen and Overy	18/05/2016	13/06/2016	

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Portfolio Manager	Working Meal	Allen and Overy	18/05/2016	13/06/2016	
GM	Other	ANZ	19/05/2016	05/05/2016	Infinz Industry Awards Dinner
CEO	Working Meal	BNZ	23/05/2016	23/05/2016	
Head	Working Meal	Goldman Sachs	25/05/2016	26/05/2016	
GM	Working Meal	Goldman Sachs	25/05/2016	26/05/2016	
Portfolio Manager	Working Meal	Citibank	26/05/2016	27/06/2016	
Senior Analyst	Working Meal	Meridian Energy	31/05/2016	03/06/2016	
Senior Analyst	Working Meal	Meridian Energy	01/06/2016	03/06/2016	
GM	Working Meal	Westpac	09/06/2016	10/06/2016	
Portfolio Manager	Working Meal	Morgan Stanley	14/06/2016	11/07/2016	
Portfolio Manager	Working Meal	Bank of America	16/06/2016	11/07/2016	
Business Analyst	Other	ClearPoint	18/06/2016	02/05/2016	Dinner
Portfolio Risk Analyst	Working Meal	Ortec Finance	20/06/2016	04/07/2016	
Portfolio Risk Analyst	Working Meal	Ortec Finance	21/06/2016	04/07/2016	
Portfolio Risk Analyst	Working Meal	Ortec Finance	22/06/2016	04/07/2016	
CEO	Working Meal	Russell McVeagh	22/06/2016	27/06/2016	

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General Counsel	Working Meal	Russell McVeagh	22/06/2016	27/06/2016	
Head	Working Meal	Institutional Investor Magazine	23/06/2016	20/07/2016	
Head	Working Meal	Bloomberg	27/06/2016	22/07/2016	
Head	Working Meal	Bridgewater	28/06/2016	22/07/2016	
Head	Working Meal	Joele Frank	28/06/2016	13/07/2016	
Head	Working Meal	Northern Trust	30/06/2016	11/07/2016	

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Guardians of New Zealand Superannuation - Gift Register 1 January 2016 – 30 June 2016

As outlined in the Guardians' Code of Conduct, receiving gifts is appropriate in some circumstances. These circumstances include:

- A formal gift from a sovereign wealth or pension fund, or a national representative;
- Gifts to recognise speaking participation in forums.

Guardians employees are not permitted to accept gifts during a tender, due diligence, procurement or appointment situation. It is also organisational policy that any gifts received become the property of the Guardians, not the individual. Gifts are either shared with the team, or pooled and raffled as part of an annual staff event and the proceeds donated to charity. Any exceptions have been noted.

Position	Description of gift	From	Date	Date Approved	Notes
GM	Book	Canyon Partners	05/01/2016	08/01/2016	Added to Xmas Raffle
Head	Book	Canyon Partners	05/01/2016	08/01/2016	Added to Xmas Raffle
Senior Analyst	Book	Canyon Partners	05/01/2016	08/01/2016	Added to Xmas Raffle
Manager	Book	Canyon Partners	05/01/2016	05/01/2016	Added to Xmas Raffle
Head	Other	MSCI	11/01/2016	15/01/2016	Added to Xmas Raffle
Senior Analyst	Other	MSCI	12/01/2016	12/01/2016	Added to Xmas Raffle
Head	Book	Canyon Partners	13/01/2016	13/01/2016	Added to Xmas Raffle
Manager	Souvenir or Memento	National Council for Social Security Fund (China)	22/01/2016	22/01/2016	Added to Xmas Raffle
Senior Analyst	Other	Northern Trust	16/02/2016	18/03/2016	Retained by Staff Member – Small chocolates and popcorn

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Head	Book	Blackrock	22/02/2016	23/02/2016	Added to Xmas Raffle
Operational Due Diligence Analyst	Gift Basket	Northern Trust	10/03/2016	14/03/2016	Retained by Staff Member – Small chocolates and popcorn
Operational Due Diligence Analyst	Gift Basket	Northern Trust	10/03/2016	14/03/2016	Retained by Staff Member – Small chocolates and popcorn
GM	Alcohol	Upside Downs	16/03/2016	18/03/2016	Retained by Guardians – As thank you for donation of Bridgewater’s Christmas money
Senior Accountant	Book	EY	18/03/2016	18/03/2016	Retained by Staff Member – Copies of EY International GAAP 2016 were gifted to members of the Finance team by EY. This publication is used as a reference for everyday Finance work.
Senior Financial Accountant	Book	EY	18/03/2016	18/03/2016	Retained by Staff Member – Copies of EY International GAAP 2016 were gifted to members of the Finance team by EY. This publication is used as a reference for everyday Finance work.
Human Resources and Travel Coordinator	Other	Orbit World Travel	24/03/2016	24/03/2016	Retained by Guardians – Easter Eggs
Head	Alcohol	University of Auckland Business School	05/04/2016	05/04/2016	Added to Xmas Raffle

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Head	Alcohol	Probus	14/04/2016	19/04/2016	Added to Xmas Raffle
Portfolio Risk Analyst	Other	HSBC	25/04/2016	13/05/2016	Added to Xmas Raffle
Head	Other	Vector	16/05/2016	16/06/2016	Added to Xmas Raffle
Head	Other	New Zealand Trade and Enterprise (NZTE)	07/06/2016	08/08/2016	Retained by Staff Member – Flights and accommodation for speaking engagement
Legal Counsel	Other	Russell McVeagh	14/06/2016	15/06/2016	Added to Xmas Raffle
Head	Alcohol	PWC	15/06/2016	16/06/2016	Added to Xmas Raffle
Human Resources and Travel Coordinator	Alcohol	Orbit World Travel	24/06/2016	24/06/2016	Retained by Staff Member – Gift to departing staff member